



Using Data Science to Improve Air Safety

**Distribution Statement A: Approved for
Public Release per AMRDEC PAO**



TECHNOLOGY DRIVEN. WARFIGHTER FOCUSED.

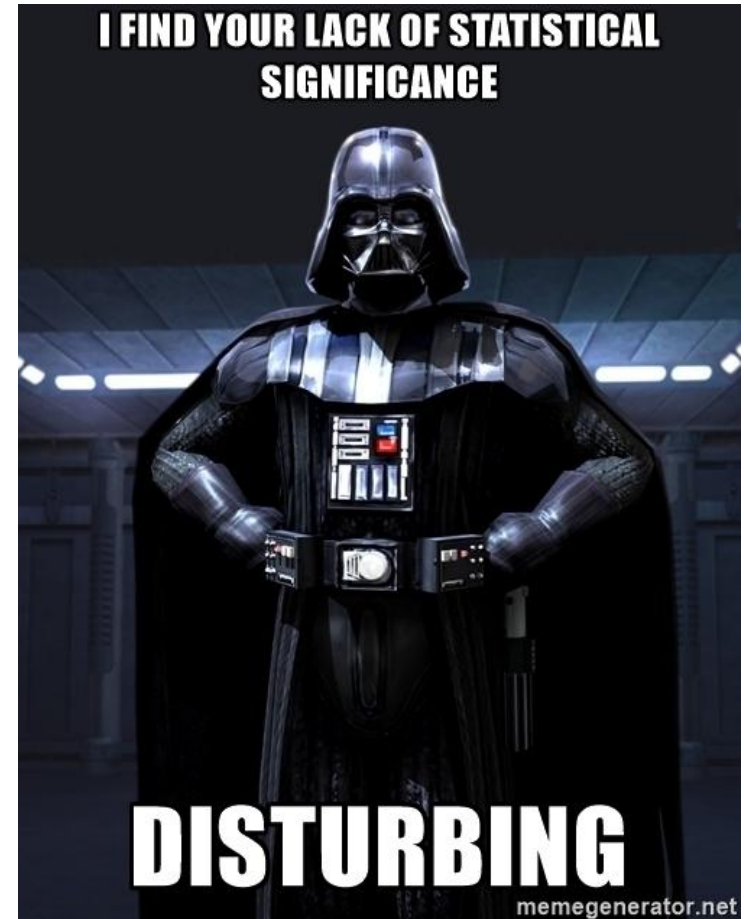
Presented by:

Daniel Wade

**Team Lead Aerospace Engineer
U.S. Army Aviation and Missile Research,
Development, and Engineering Center**

13 SEP 17

- **US Army Aviation Engineering Directorate**
 - Airworthiness Authority for the Army
 - TRL 7-9 Development and Qualification
- **Dynamics Branch**
 - Health and Usage Monitoring Systems and Aviation Data Science Team Lead
- **Bachelor and Master of Science in Mechanical Engineering**
 - Dynamics & Modal Analysis
 - I'm not a
 - Researcher
 - Statistician or
 - Data scientist





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Who is AMRDEC?



U.S. Army Aviation and Missile Research, Development, and Engineering Center provides increased responsiveness to the nation's Warfighters through aviation and missile capabilities and life cycle engineering solutions.

- **Headquartered at Redstone Arsenal, AL**
- **5 Directorates**
- **9,000 scientists & engineers**
- **\$2.45 billion in reimbursable funding, FY 16**
- **\$339 million in Science & Technology funding, FY 16**

AMRDEC Priorities

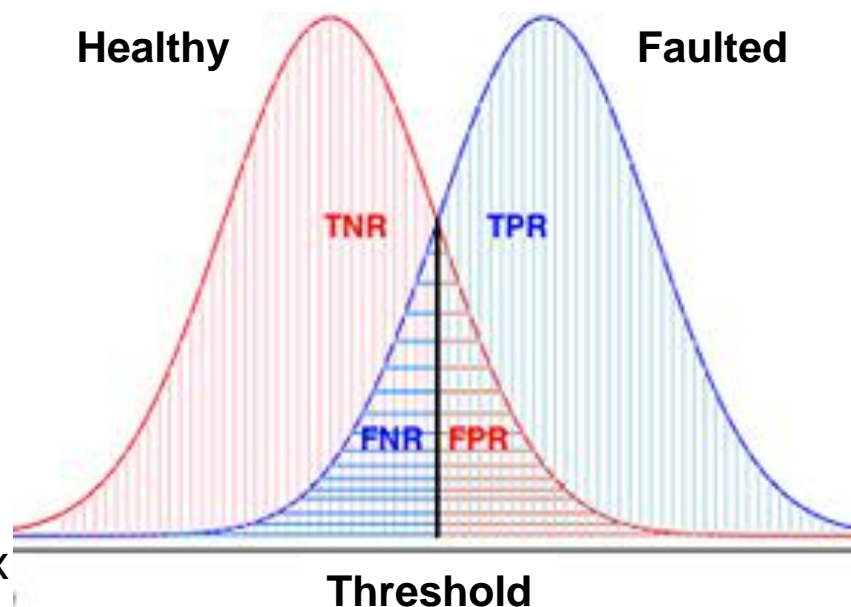
Strategic Readiness – provide aviation and weapons technology and systems solutions to ensure victory on the battlefield

Future Force – develop and mature Science and Technology to provide technical capability to our Army's (and nation's) aviation and weapons systems

Soldiers & People – develop the engineering talent to support both Science and Technology and materiel enterprise

TECHNOLOGY DRIVEN. WARFIGHTER FOCUSED.

- Health and Usage Monitoring Systems (**HUMS**)
 - The child of FOQA (Flight Operations Quality Assurance)
- **True Positive**: *Sensitivity*; HUMS correctly identified a faulted state
 - **False Negative**: Missed Detection
- **True Negative**: *Specificity*; HUMS correctly identified a healthy state
 - **False Positive**: False Alarm
- **Bookmakers Informedness** = $TPR - FPR$
- **Ground Truth**
 - Assets and Examples
- **ROC**: Receiver Operating Characteristic
- **Epicyclic Transmission**: Planetary Gearbox



What is HUMS?

Health and Usage Monitoring System

Flight Operations Data (Parametric Data)

e.g. altitude, pitch rate, engine torque

Sensor Data

Burst data (High Frequency)

e.g. accelerometers

Continuous data (Low Frequency)

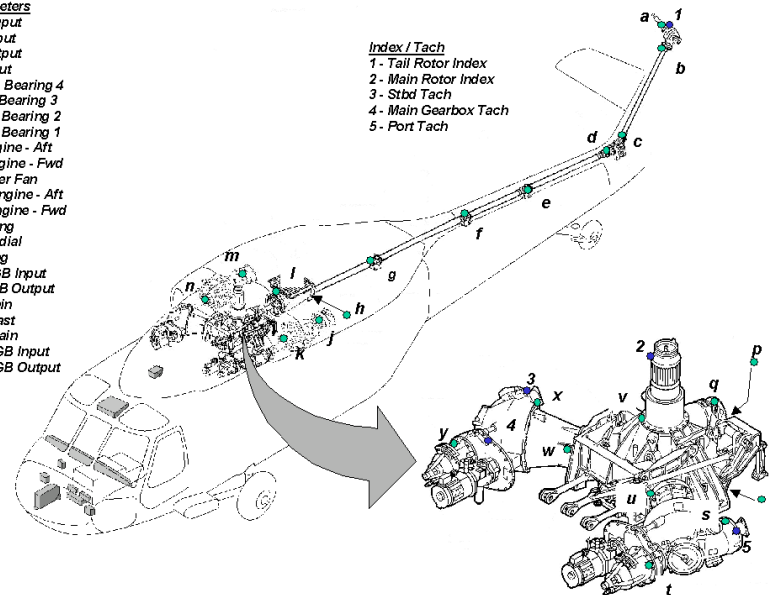
e.g. oil debris monitor

Accelerometers

- a - TGB Output
- b - TGB Input
- c - IGB Output
- d - IGB Input
- e - Hanger Bearing 4
- f - Hanger Bearing 3
- g - Hanger Bearing 2
- h - Hanger Bearing 1
- j - Port Engine - Aft
- k - Port Engine - Fwd
- l - Oil Cooler Fan
- m - Stbd Engine - Aft
- n - Stbd Engine - Fwd
- p - Stbd Ring
- q - TTD Radial
- r - Port Ring
- s - Port AGB Input
- t - Port AGB Output
- u - Port Main
- v - Main Mast
- w - Stbd Main
- x - Stbd AGB Input
- y - Stbd AGB Output

Index / Tach

- 1 - Tail Rotor Index
- 2 - Main Rotor Index
- 3 - Stbd Tach
- 4 - Main Gearbox Tach
- 5 - Port Tach

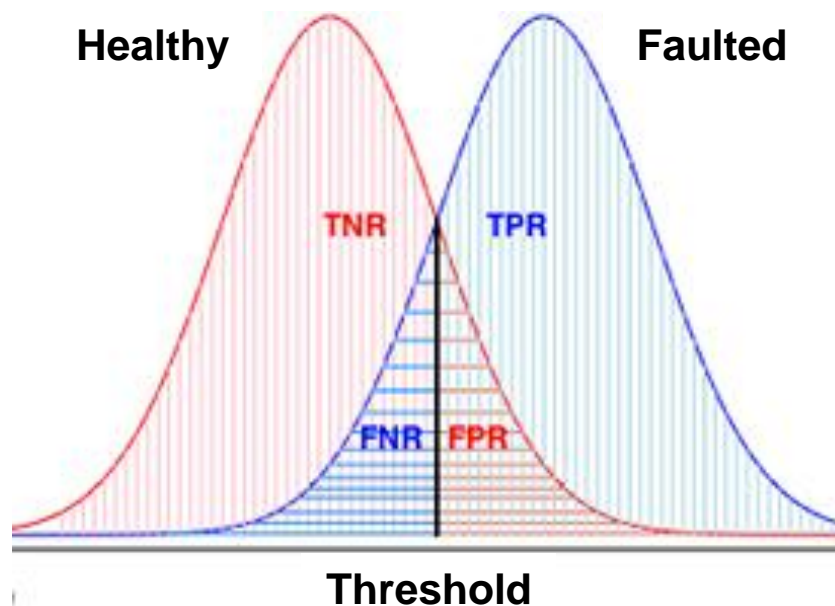


What do we use it for?

- Univariate exceedance monitoring during flight
 - Oil debris monitoring
- Health/Usage monitoring
 - Drive train vibration
 - Rotor vibration
 - Flight regime classification
- Accident Investigation
 - Cockpit voice
 - Flight data recording



Exclusively uses univariate exceedance classification methods which are often prone to a False Positive/Negative problem.



- The problem is temporal
- The variables are noisy
- Health is often relative
- Anomalous does not always mean broken or dangerous
- It does not account for other flight variables

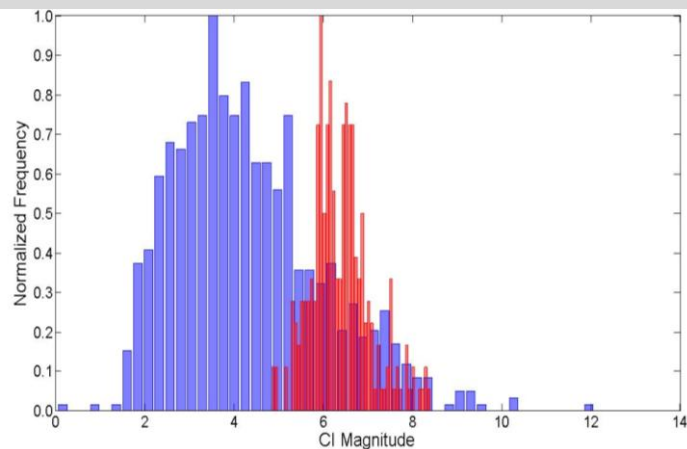


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An Example: Change Detection



The aircraft is not separated from the fleet



AED Statistical Change Detection Tool

Impact Technologies
A Sikorsky Innovations Company



Data Source: UH-60A imd3, UH-60L imd3, UH-60M imd3, UH-60A 11DECto12JUN, UH-60A 12MAY, UH-60L 11DECto12MAY, UH-60L 12MAY, UH-60M 11DECto12JUN, UH-60M 12MAY, LAM, RAA, 00060309.

Tails: 9926831, 9926832, 9926833, 9926834, 9926835, 9926836, 9926837, 9926838, 9926839, 00060309.

Capture Modes: Ground, Hover OGE, Level Flight 035-070 kts, Level Flight 070-114 kts, Level Flight 114-130 kts, Level Flight 130-145 kts, Level Flight 145-VH kts.

Component Types: Bearing, Gear, Shaft.

Components: Right Input Pinion Ball, Right Input Pinion Inbd Roll, Right Input Pinion Roll Out, Right Main Bevel Pinion, Right Main Bevel Pinion Roll, Tail Rotor Pitch Change, Tail Takeoff Preload, Tail Takeoff Thrust, TGB Input Preload, TGB Input Thrust.

Sensors: Right Module Input Flange, Right Module Output Flange.

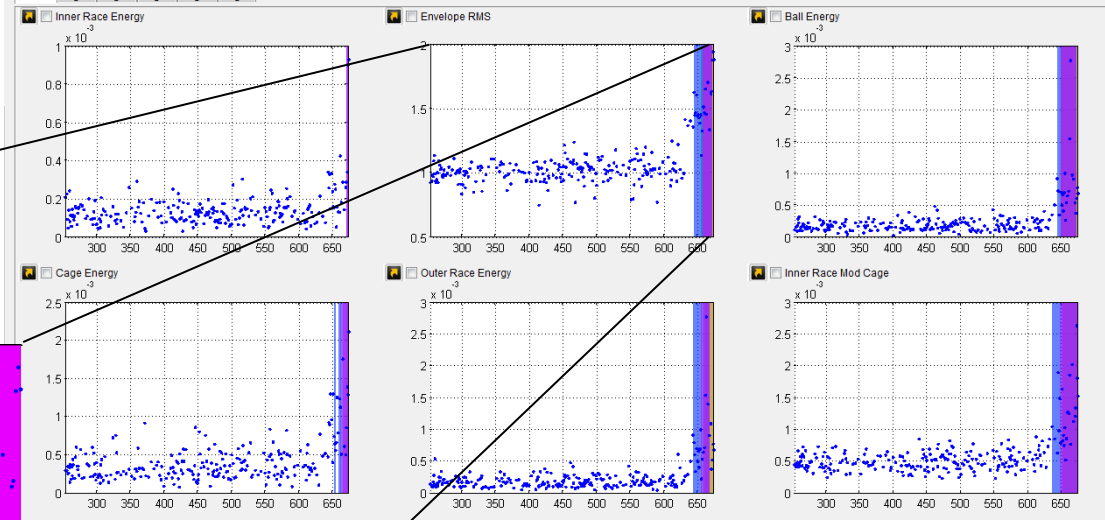
Options and Filters: Show Affected Cls, Show Thresholds, Run SCD Algorithms, Select/Deselect All Plots.

Plot vs.: ByRecentPoints, ByGateRange, ByRotorTurnTime.

Filters: min, max, Airspeed, Torque.

SCD Results Legend: FastTr, LongTr, Scatter, TrendUp, GapDown, LongTr, ShortTr, XLongTr, GapUp, Scatter, TrendD.

Page 1, Page 2, Page 3, Page 4, Page 5, Page 6.

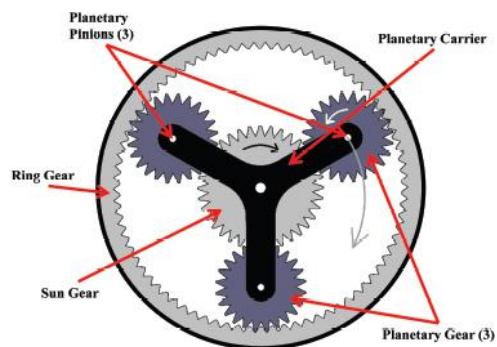


~50 hours prior to chip light

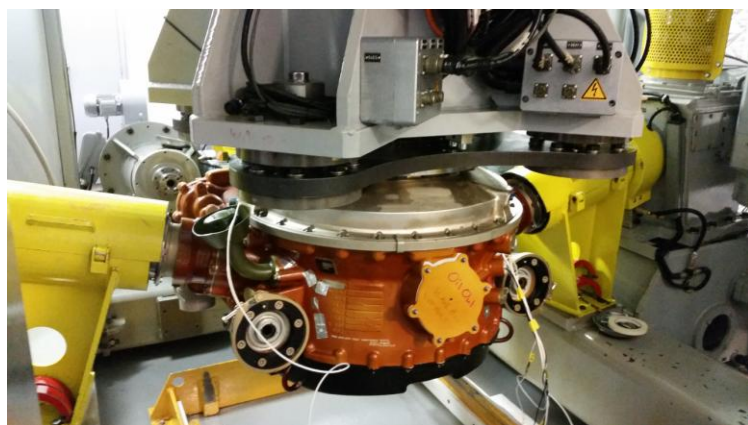
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Case Study: Transmission Internal Failure

Epicyclic Transmission



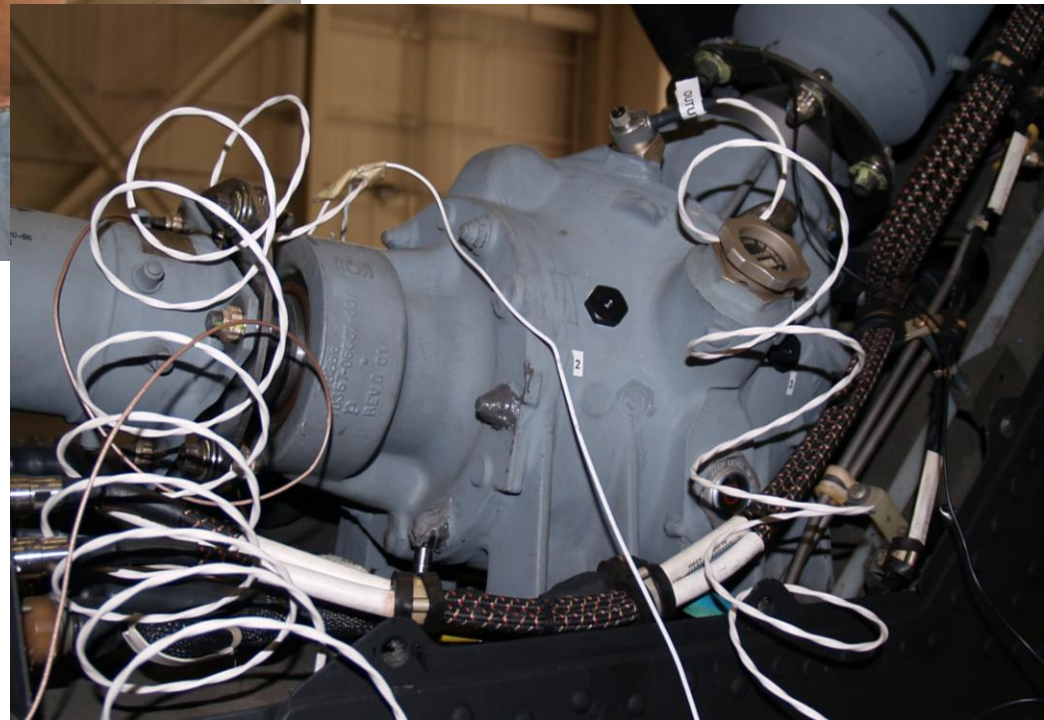
Spiral Bevel Transmission





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Can vibration transfer across an epicyclic transmission?





How well are we actually doing?



| Epicyclic Transmission 1 | HUMS Indicated Healthy | HUMS Indicated Fault | Assets |
|---------------------------|------------------------|----------------------|--------|
| Actual Condition: Healthy | TN=100% | FP=0% | 2 |
| Actual Condition: Faulty | FN=100% | TP=0% | 6 |
| Sum of Assets: | | | 8 |

| Epicyclic Transmission 2 | HUMS Indicated Healthy | HUMS Indicated Fault | Assets |
|---------------------------|------------------------|----------------------|--------|
| Actual Condition: Healthy | TN=100% | FP=0% | 4 |
| Actual Condition: Faulty | FN=100% | TP=0% | 4 |
| Sum of Assets: | | | 8 |

| Epicyclic Transmission 3 Built In HUMS | HUMS Indicated Healthy | HUMS Indicated Fault | Assets |
|--|------------------------|----------------------|--------|
| Actual Condition: Healthy | TN=0% | FP=100% | 1 |
| Actual Condition: Faulty | FN=100% | TP=0% | 25 |
| Sum of Assets: | | | 26 |

| Epicyclic Transmission 4 | HUMS Indicated Healthy | HUMS Indicated Fault | Assets |
|---------------------------|------------------------|----------------------|--------|
| Actual Condition: Healthy | TN=91% | FP=9% | 11 |
| Actual Condition: Fault | FN=95% | TP=5% | 21 |
| Sum of Assets: | | | 32 |

Can we improve?

| Epicyclic Transmission 3 Built In HUMS | HUMS Indicated Healthy | HUMS Indicated Fault | Assets |
|---|------------------------------|----------------------------|--------|
| Actual Condition: Healthy | TN=0% | FP=100% | 1 |
| Actual Condition: Faulty | FN=100% | TP=0% | 25 |
| Sum of Assets: | | | 26 |

| Epicyclic Transmission 3 Modified HUMS | HUMS Indicated Healthy | HUMS Indicated Fault | Assets |
|---|------------------------------|----------------------------|--------|
| Actual Condition: Healthy | TN=100% | FP=0% | 1 |
| Actual Condition: Faulty | FN=56% | TP=44% | 25 |
| Sum of Assets: | | | 26 |



What about spiral bevel transmissions?

| Tail Gearbox 1 | HUMS Indicated Healthy | HUMS Indicated Fault | Assets |
|------------------------------|------------------------------|----------------------------|--------|
| Actual Condition: Healthy | TN=100% | FP=0% | 4 |
| Actual Condition: Faulty | FN=0% | TP=100% | 3 |
| Sum of Assets: | | | 7 |

| Tail Gearbox 2 | HUMS Indicated Healthy | HUMS Indicated Fault | Assets |
|------------------------------|------------------------------|----------------------------|--------|
| Actual Condition: Healthy | TN=71% | FP=29% | 7 |
| Actual Condition: Fault | FN=13% | TP=87% | 15 |
| Sum of Assets: | | | 22 |



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What are we doing to fix the problem?



Remember the Emergency Medical Hologram?

What are we doing to fix the problem?

Remember the Emergency Medical Hologram?



Please state the nature of the medical emergency

What are we doing to fix the problem?

Remember the Emergency Medical Hologram?



*Please state the nature of the **engineering** emergency*



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Machine Learning in a critical environment



We live in a common place with other industries when we talk about this topic:

- Medicine
- Nuclear Power
- Aviation

Development of multivariate machine learned diagnostics and prognostics requires

a process...

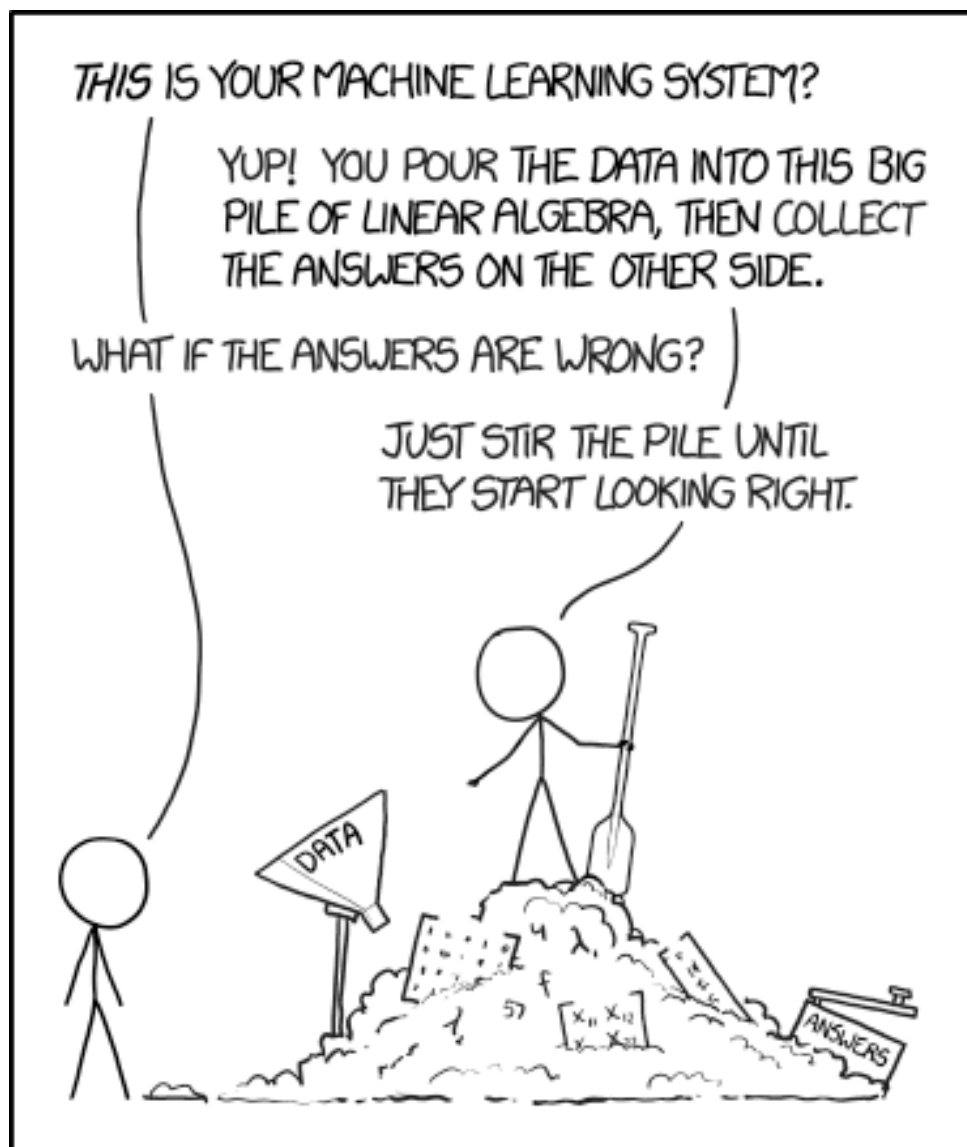
Our Machine Learning Process



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Our Machine Learning Axioms for Aviation

- Stirring the pile, is training
- Model evaluation, is training
- Model selection, is training
- Model validation, is training
- Looking under the hood, is training
- Stirring stops prior to testing
- Testing is done by the customer on a clean dataset



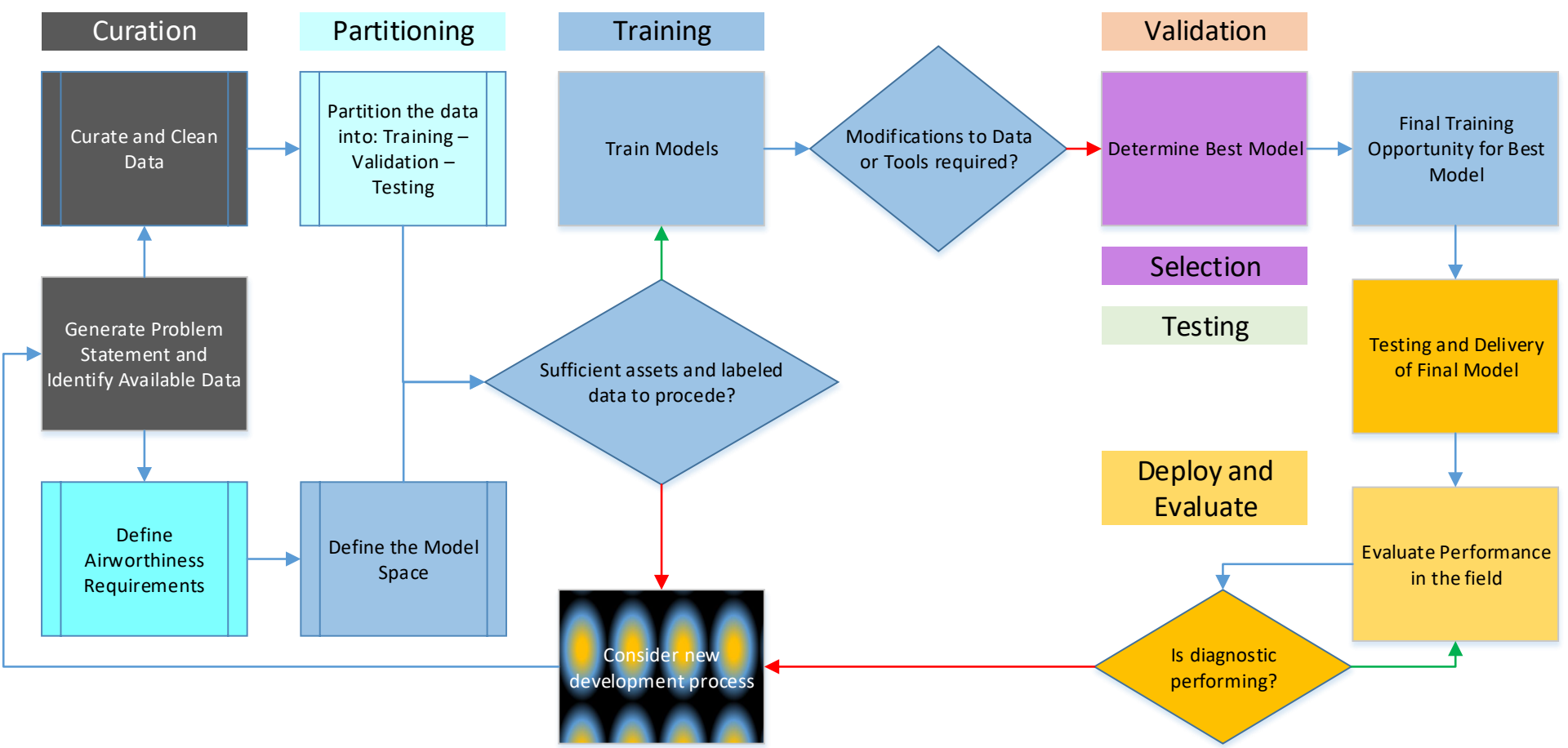


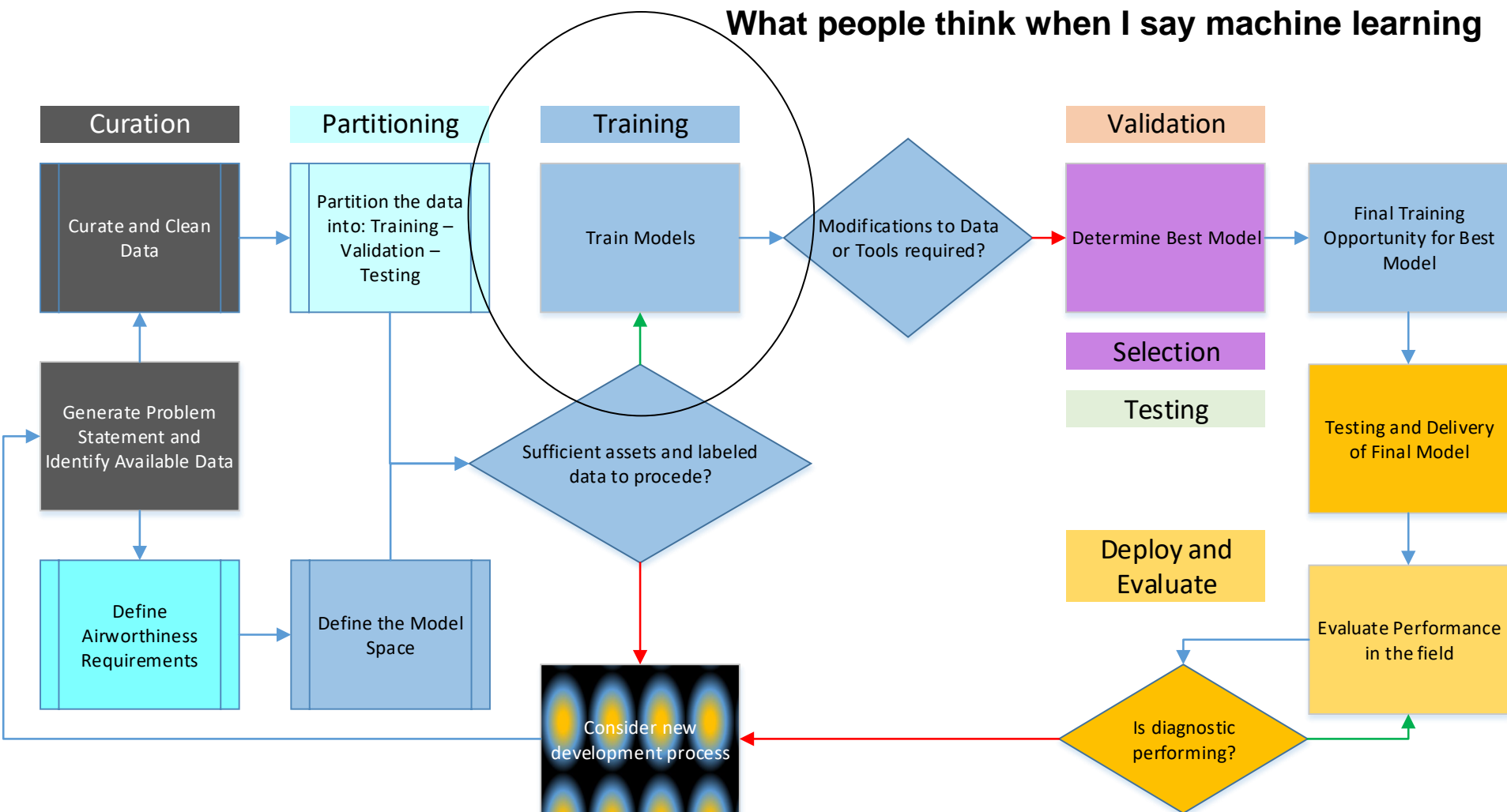
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How did we implement our axioms on a real aviation problem?



- We put together a general path forward we expect to see when we take on a machine learning task.
- Demonstrated in our NGB internal failure classification work
 - Cleanse
 - Partition
 - Train
 - Validate
 - Select
 - Test
 - Deploy
- We built a flow chart!





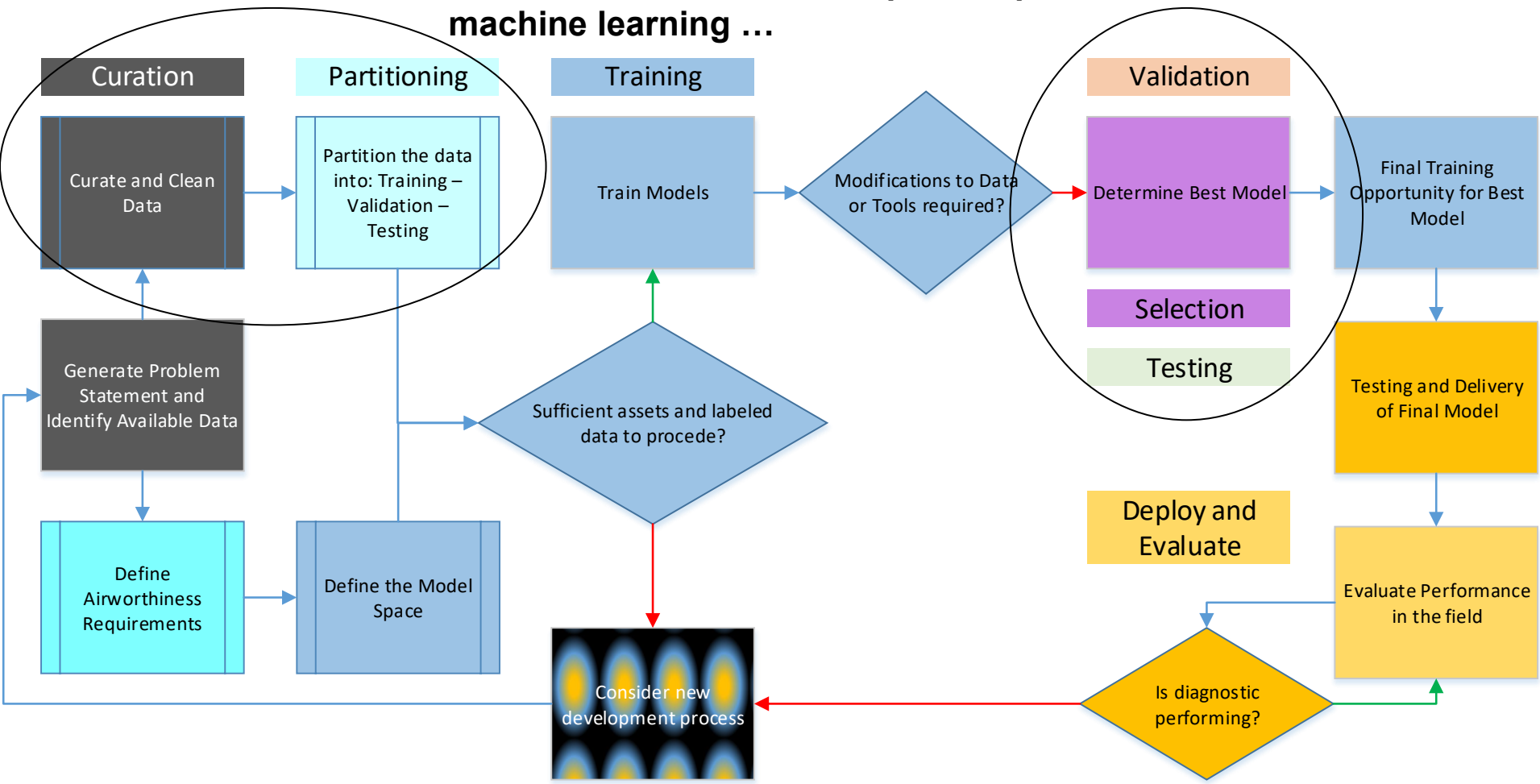


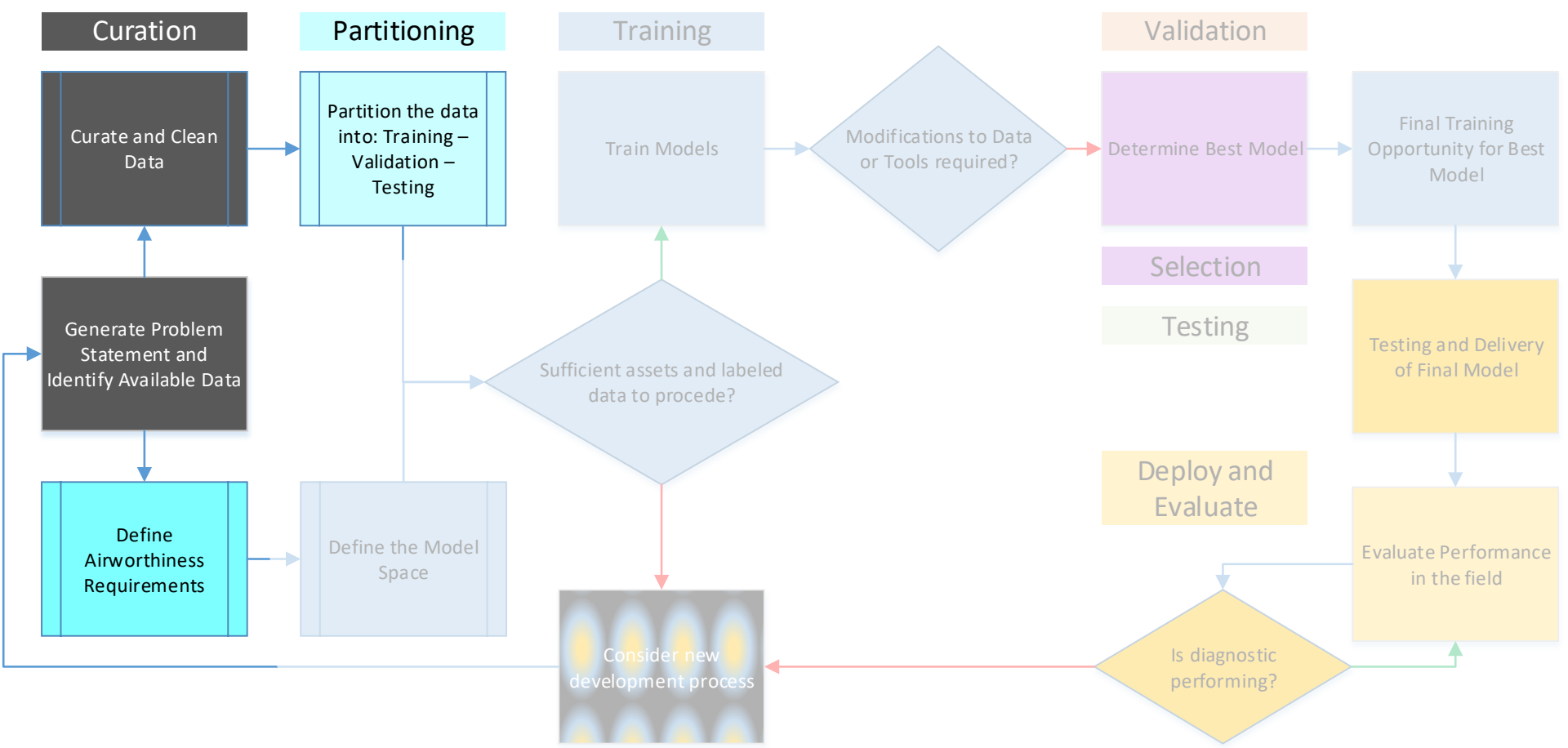
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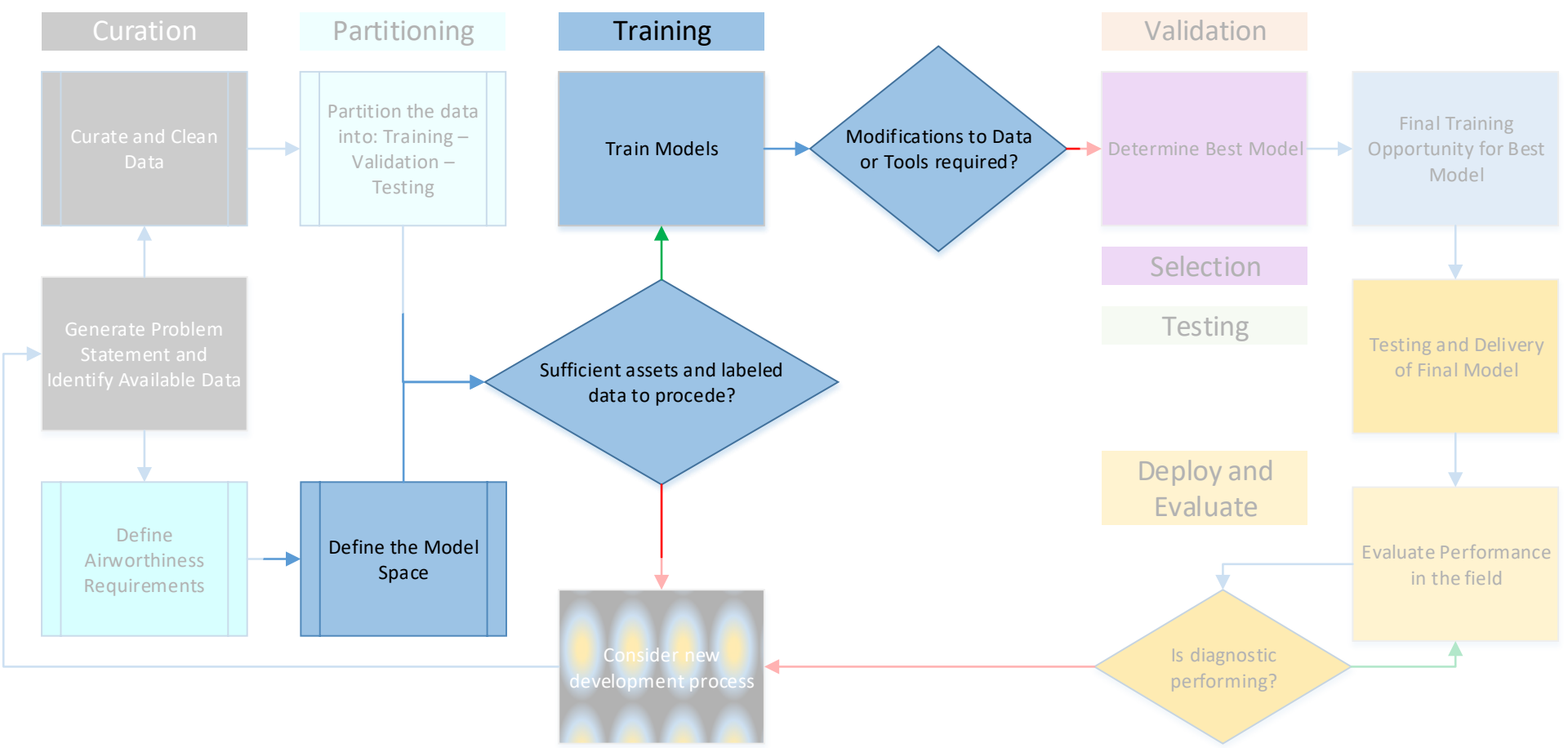
Aviation Machine Learning Process

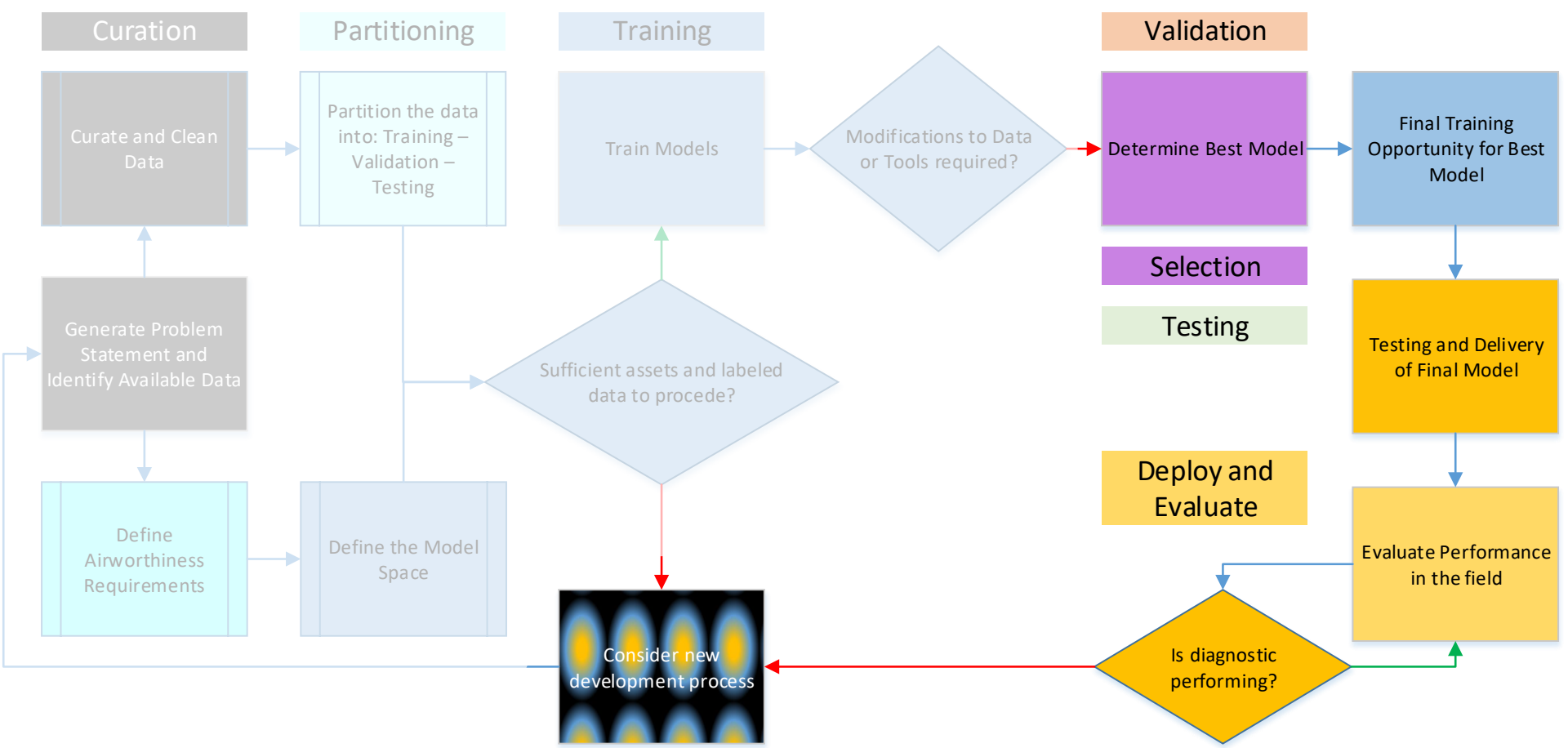


What I've realized is the important part of machine learning ...









METRICS

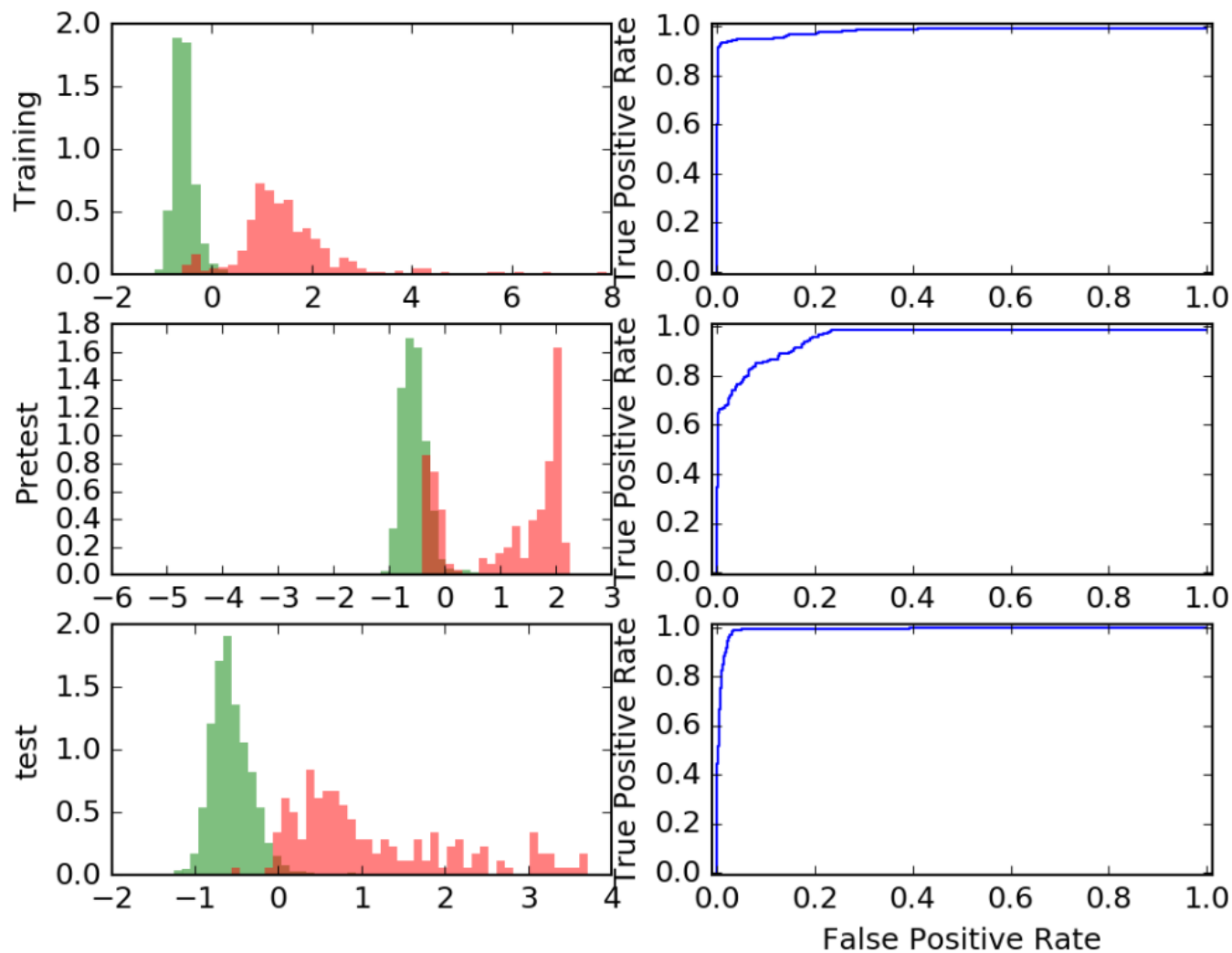
Description: Bagged Logistic Regression over history-max plus FRF-CI's

Justification for inclusion in pretest: "Simplest" model on generalization (CV informedness) list

| | Train | CV | Pretest |
|----------------------------------|-------|-------|---------|
| Measurment Informedness | 0.812 | 0.856 | 0.596 |
| Measurment TPR | 0.860 | — | 0.618 |
| Asset TPR | 0.478 | — | 0.286 |
| Asset FPR | 0.174 | — | 0.147 |
| Asset TPR (M oo N) | 0.826 | — | 0.429 |
| Asset FPR (M oo N) | 0.090 | — | 0.032 |
| Asset Unpredicted Positives | 0.000 | — | 0.125 |
| Asset Unpredicted Negatives | 0.000 | — | 0.004 |
| Asset MooN Unpredicted Positives | 0.000 | — | 0.125 |
| Asset MooN Unpredicted Negatives | 0.000 | — | 0.004 |

| Training | Real Positives | Real Negatives |
|---------------------|----------------|----------------|
| Predicted Positives | 313.0 | 674.0 |
| Predicted Negatives | 51.0 | 13528.0 |

ROC curves







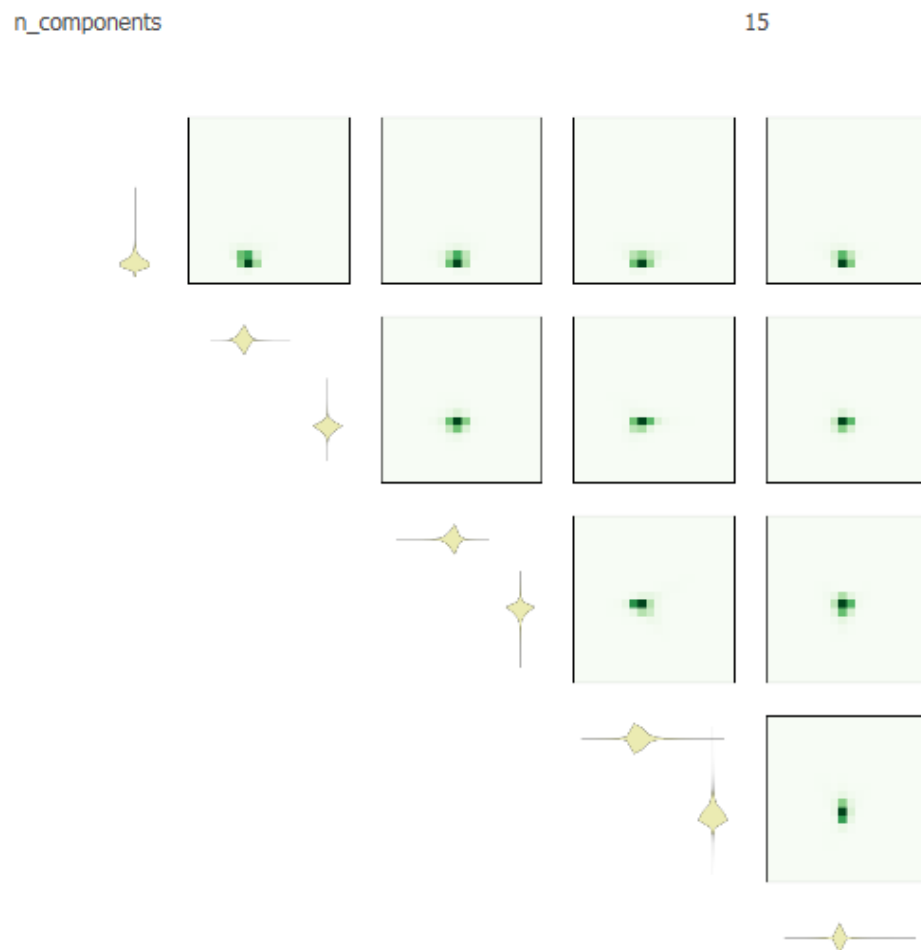
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What is it doing under the hood?

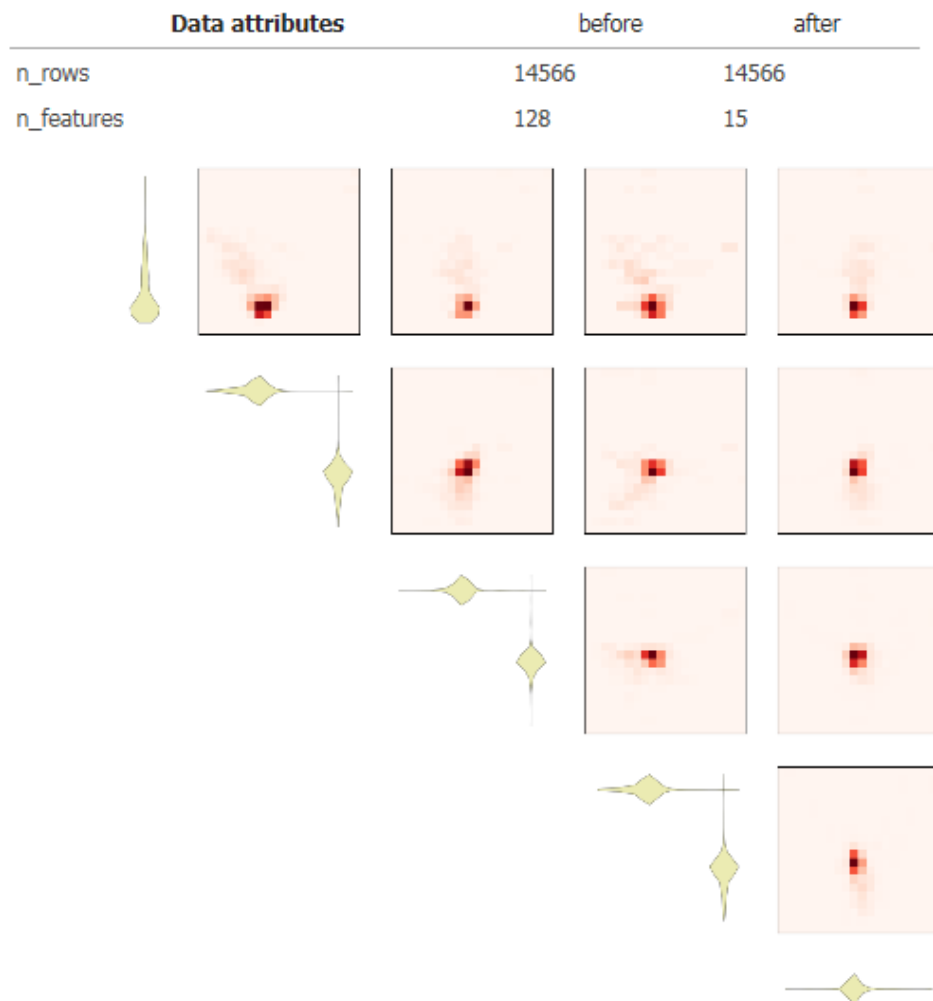


RandomizedPCA on STA:64D-NX-SF-NX-10

Unsupervised model parameters



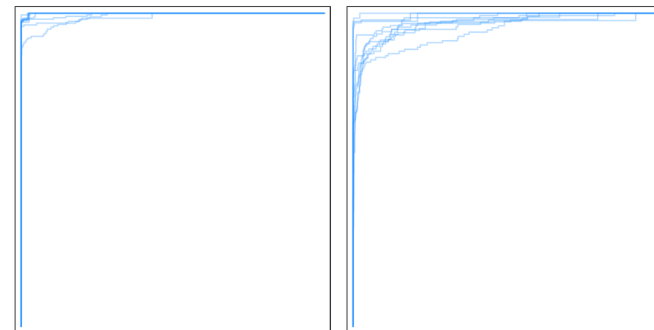
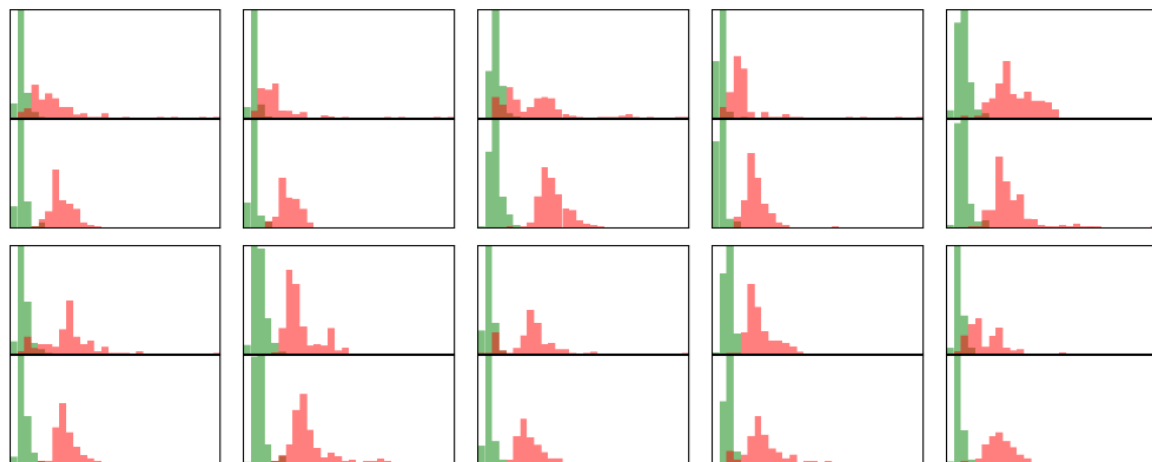
Data attributes



How did it perform in cross validation?

CROSS-VALIDATION w/ 10 FOLDS

| Fold # | True Positive Rate | False Positive Rate | True Positive Accuracy | True Negative Accuracy | Informedness |
|---------|--------------------|---------------------|------------------------|------------------------|--------------|
| Fold #0 | 1.00 | 0.08 | 0.22 | 1.00 | 0.92 |
| Fold #1 | 0.84 | 0.06 | 0.26 | 1.00 | 0.78 |
| Fold #2 | 0.90 | 0.02 | 0.50 | 1.00 | 0.87 |
| Fold #3 | 1.00 | 0.06 | 0.34 | 1.00 | 0.94 |
| Fold #4 | 1.00 | 0.07 | 0.23 | 1.00 | 0.93 |
| Fold #5 | 0.98 | 0.07 | 0.24 | 1.00 | 0.92 |
| Fold #6 | 1.00 | 0.06 | 0.29 | 1.00 | 0.94 |
| Fold #7 | 0.83 | 0.02 | 0.55 | 1.00 | 0.82 |
| Fold #8 | 0.99 | 0.03 | 0.49 | 1.00 | 0.96 |
| Fold #9 | 0.82 | 0.02 | 0.52 | 0.99 | 0.79 |





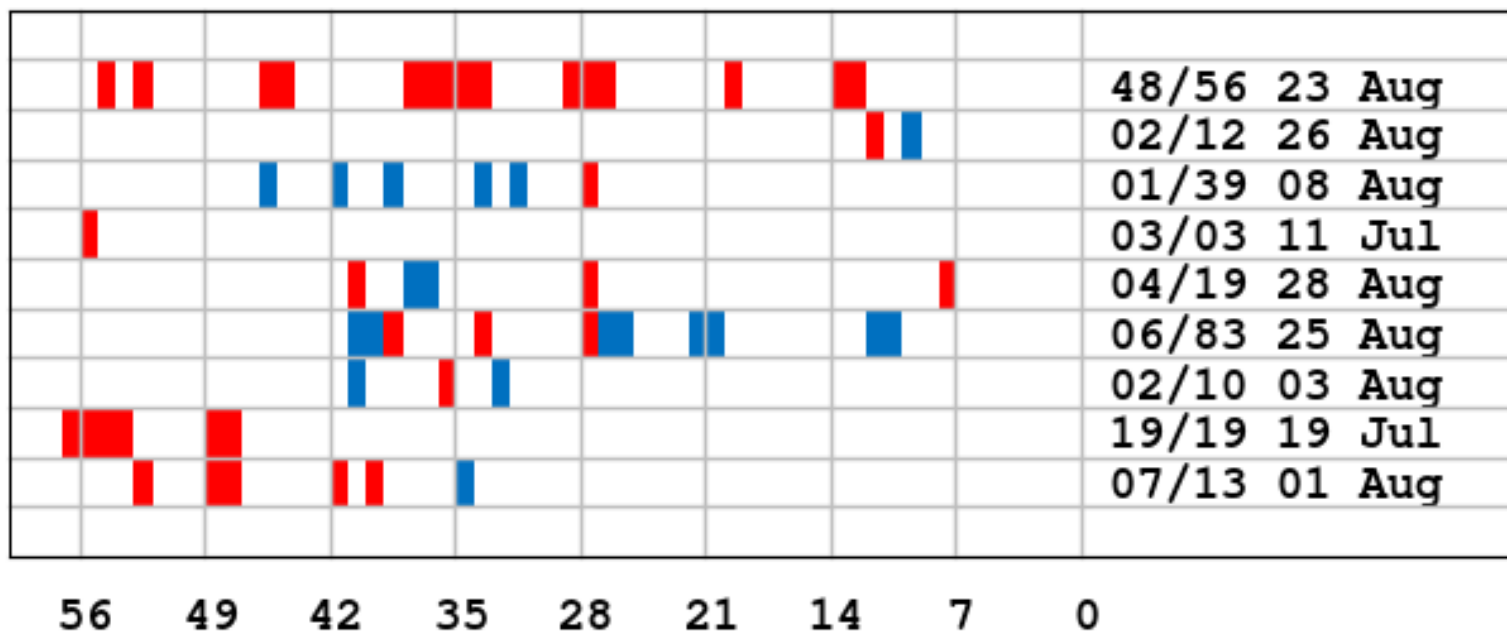
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Enterprise Data Analytics Report



HUMS_OMNI as of 09/04/17

Generated by the Aviation Engineering Directorate
Aeromechanics Division





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Did the process work?



- **Yes, but it needs some adjustments:**
 - Metrics need to be computed across the maximum data for the life of the aircraft
 - Sampling techniques are ok for training but not when reporting performance
 - Post Mortem indicates we picked almost the best choice but not *the best* choice
 - We could have an improvement of up to 10% informedness
- **Does it *automate away* the engineer?**
 - No, but it sure does give them a great place to focus
 - 650 aircraft and you have confidence that you will be focused on the select 9 or 10 that need your attention
 - Still has a **FP rate** that needs engineering assistance



This is a team effort



- Thanks to the great government and contractor team:
 - AMRDEC
 - Andrew
 - Jeremy
 - Matt
 - Jamie
 - Avion
 - Shawn
 - PEO-AVN
 - Frances
 - Honeywell
 - Andrew
 - Abe
 - Raj
 - RMCI
 - Lance
 - Nate
 - Steve



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Our Relevant Publications on this Topic



1. Wilson, A., Wade, D., Albarado, K., Partain, J., and Statham, M., "A Classifier Development Process for Mechanical Health Diagnostics on US Army Rotorcraft", Proceedings of the ML and PHM Workshop, SIGKDD 2016, San Francisco, CA, August 2016.
2. Wilson, A., and Wade, D., "Reconstructing Spectra from IVHMS Condition Indicators," Proceedings of the 73rd American Helicopter Society Annual Forum, Fort Worth, TX, May 2017.
3. Wilson, A., Wade, D., Ling, J., Chowdhary, K., Davis, W., Barone, M., and Fike, J., "Convolutional Neural Networks for Frequency Response Predictions," Proceedings of the Verification and Validation Symposium, Las Vegas, NV, May 2017.
4. Wade, D., and Wilson, A., "Applying Machine Learning-Based Diagnostic Functions to Rotorcraft Safety", Proceedings of the Tenth Australian Defence Science and Technology Group International Conference on Health and Usage Monitoring Systems, Melbourne, VIC, Australia, February 2017.
5. Wade, D. et al, "Measurement of Vibration Transfer Functions to Inform Machine Learning Based HUMS Diagnostics," Proceedings of the 72nd Annual Forum of the American Helicopter Society, May 2016.



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Good References



- Cal Tech: “Learning From Data”
 - **FREE** on YouTube
 - <https://work.caltech.edu/telecourse>
- NASA work in Flight Operations Data and the Future ATC System
 - <https://www.nasa.gov/content/air-traffic-operations-lab-answering-big-questions-about-the-future-of-air-travel>
- Journal of Aerospace Information Systems
 - <https://arc.aiaa.org/loi/jais>
- SIGKDD (Association for Computing Machinery: Special Interest Group on Knowledge Discovery and Data Mining)
 - <http://www.kdd.org/>
- ASME V&V Symposium
 - <https://www.asme.org/events/vandv>

Upcoming Events



AIRWORTHINESS, CBM, and HUMS

Technical Meeting

Call for Papers

Feb. 20-22, 2018 — Huntsville, AL



The Redstone Chapter of AHS International will be sponsoring a Technical Meeting on **Airworthiness, Condition Based Maintenance (CBM), and Health and Usage Monitoring (HUMS)** on February 20-22, 2018 in Huntsville, Alabama. The Technical Meeting will present applicable technologies that are new to continued airworthiness, current and potential processes, and hardware required for military and civil aircraft airworthiness.

Abstracts are to be submitted to abstracts@ahsredstone.org no later than **October 13, 2017**. It is strongly encouraged that abstracts be submitted in a .pdf format and not be any larger than 2MB. They should be approximately 1,000 words, present the status of the background data to be used, summarize figures and illustrations to be used (with samples), and include a summary of important conclusions. Abstracts will be accepted in a variety of technical disciplines related to commercial or military aircraft Airworthiness, Condition Based maintenance, Health-Monitoring Technologies, or Certification/Qualification of vertical flight aircraft. Papers are solicited on the following topics:

- HUMS/SUMS for maintenance credits
- Measuring the Return on Investment of HUMS installation, analysis, and data retention
- Using HUMS to improve logistics and decrease aircraft downtime
- Low-cost HUMS solutions
- Improvement of data flow on and off board aircraft
- Civil and Military Regulatory Advancements and Recommendations related to HUMS
- Flight Data Analysis (FOQA/FDM)
- Aviation Data Science
- Next Generation HUMS
- HUMS sensors, architecture improvements, and technologies
- Maintainer, Pilot, and Operator experiences and feedback
- Using HUMS to influence Future Vertical Lift design
- Fault Modeling and Simulation for HUMS development and qualification



Redstone Arsenal

Data Science Working Group

Open Announcement and Call for Participation

Topics:

-Machine learning on scientific and engineer data

-Surrogate modeling

-Image processing/comp vision

-Classification Problems

-Unraveling Buzz Words

-What is "Big Data"

-IT Infrastructure and storage

-Developing internal data science talent

-Public release and hosting competitions

Pockets of engineers and analysts across Redstone Arsenal are applying data science methods to government data. Many of these groups may have common problems even though they have different datasets.

How do we leverage existing knowledge and parallel efforts to improve efficiency and maximize capability? If you think you may fall into this category, join us!

This free event is cosponsored by AMRDEC and MSIC. The planned format is a single auditorium with short talks and panel discussions. We are soliciting interested individuals to participate in this event through submission of short abstracts for talks or panels as related to the listed topics.

If you are interested in this event, please submit your abstracts or questions to the listed organizers. Agenda and attendance information is forthcoming.

This event is unclassified and is intended for government employees and government sponsored onsite support contractors only. Please contact a POC below for detailed information.

Join us!

**7 November 2017
MSIC Auditorium**



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Thank you for your time
and attention



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